

INDEX REGULATIONS

STOXX is compliant with IOSCO and ESMA-EBA Principles

STOXX claims compliance with the International Organization of Securities Commission's (IOSCO) Principles for Financial Benchmarks for all STOXX-branded indices (including iSTOXX).

The objective of the IOSCO Principles for Financial Benchmarks ("IOSCO Principles") is to create an overarching regulatory framework for benchmarks used in global financial markets. The internationally valid guidelines focus on the methodology, transparency and overall quality of benchmarks as well as the overall governance arrangements and accountability for index providers and other relevant bodies.

STOXX also claims compliance with the ESMA-EBA Principles for Benchmark-Setting Processes in the EU issued by the European Securities and Markets Authority and European Banking Authority (ESMA 2013/659, or "ESMA-EBA Principles"). The ESMA-EBA Principles aim to mitigate governance and incentive issues pertaining to Benchmarks provided by private sector Benchmark Administrators such as STOXX.

STOXX Directors ensures the administration of the STOXX-branded indices in compliance with the recommendations and in line with the principle of proportionality set forth in the IOSCO and ESMA-EBA principles. This includes responsibility for designing, implementing and monitoring compliance with policies and procedures that achieve compliance with the IOSCO and ESMA-EBA Principles. They are also responsible for preparing a statement of compliance with the IOSCO and ESMA-EBA Principles, including the responses to the individual IOSCO and ESMA-EBA Principles, which is free of misstatement, whether due to fraud or error. It also includes selecting the criteria against which to measure the fair assertion of the statement of compliance.

STOXX's statements of compliance, which outline the full list of principles and how STOXX ensures its compliance with them, as well as other relevant documents, can be found below.

Certain STOXX indices are also compliant with the ESMA UCITS guidelines and technical documentation is available on request.

For any regulatory queries please contact regulations@stox.com or +41 43 430 72 72

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Note to Editors:

About STOXX Limited

STOXX Ltd. is a global index provider, currently calculating a global, comprehensive index family of over 7,500 strictly rules-based and transparent indices. Best known for the leading European equity indices EURO STOXX 50, STOXX Europe 50 and STOXX Europe 600, STOXX Ltd. maintains and calculates the STOXX Global index family which consists of total market, broad and blue-chip indices for the regions Americas, Europe, Asia/Pacific and sub-regions Latin America and BRIC (Brazil, Russia, India and China) as well as global markets.

To provide market participants with optimal transparency, STOXX indices are classified into three categories. Regular "STOXX" indices include all standard, theme and strategy indices that are part of STOXX's integrated index family and follow a strict rules-based methodology. The "iSTOXX" brand typically comprises less standardized index concepts that are not integrated in the STOXX Global index family, but are nevertheless strictly rules-based. While indices that are branded "STOXX" and "iSTOXX" are developed by STOXX for a broad range of market participants, the "STOXX Customized" brand covers indices that are specifically developed for clients and do not carry the STOXX brand in the index name.

STOXX indices are licensed to more than 500 companies around the world as underlyings for Exchange Traded Funds (ETFs), futures and options, structured products and passively managed investment funds. Three of the top ETFs in Europe and approximately 25% of all assets under management are based on STOXX indices. STOXX Ltd. holds Europe's number one and the world's number two position in the derivatives segment.

STOXX is part of Deutsche Boerse Group, and also markets the DAX indices. www.stoxx.com

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